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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/056,529	01/23/2002	Valentine C. Krzyzaniak	1878-1-3	4333

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EXAMINER

PANNALA, SATHYANARAYA R

ART UNIT	PAPER NUMBER
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2167

DATE MAILED: 11/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary	Application No. 10/056,529	Applicant(s) KRZYZANIAK, VALENTINE C.	
	Examiner Sathyanarayan Pannala	Art Unit 2167	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
 - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
 - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
 - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 January 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-5 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-5 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. The Application 10/056529 filed on 1/23/2002 has been examined. Claims 1-5 are pending in this Office Action.

Priority

2. Applicant's claim for domestic priority under 35 U.S.C. 119(e) is acknowledged. However, the provisional application 60/265439 filed on 1/30/2001 upon which priority is claimed fails is in compliance and has been considered by the examiner.

Specification

3. The specification in the application objected because "The Field of Invention" is missing and the "Background of the Information" is very short and not informative. See the following guidelines:

Background of the Invention: See MPEP § 608.01(c). The specification should set forth the

Background of the Invention in two parts:

- (1) Field of the Invention: A statement of the field of art to which the invention pertains. This statement may include a paraphrasing of the applicable U.S. patent classification definitions of the subject matter of the claimed invention. This item may also be titled "Technical Field."
- (2) Description of the Related Art including information disclosed under 37 CFR 1.97 and 37 CFR 1.98: A description of the related art known to the applicant and including, if applicable, references to specific related art and problems involved in the prior art

which are solved by the applicant's invention. This item may also be titled
"Background Art."

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1-5 are rejected under 35 U.S.C. 102(e) as being anticipated by Polizzi et al. (6,643,661).

6. As per independent claim 1, Polizzi teaches an enterprise-wide computer system using a portal architecture to be connected to a wide variety of different computers within the enterprise to allow users to retrieve a variety of contents from different databases (col. 1, lines 53-64). The portal system converts user request in standard format into database format and vice versa while returning the data and report to the user col.2, lines 1-5). Polizzi teaches the claimed "receive at least one user-selected

Art Unit: 2167

parameter related to the information to be extracted from the PIA file” as the user’s instructions (examiner assumes instructions contain parameters) (Fig. 1, 5, col. 4, 16, lines 5-6 and lines 43-48). Further, Polizzi teaches the claimed “receive the PIA formatted file” as the portal system receives the user instructions in standard format (Fig. 1, col. 4, lines 5-8). Further, Polizzi teaches the claimed “convert the PIA file to a file format suitable for extraction of information based upon the user-selected parameter” as user instructions are converted into commands (Fig. 1, col. 4, lines 5-8). Further, Polizzi teaches the claimed “extract information from the converted PIA file based on the user-selected parameter” as the portal system extracts the data and reports from the back-end databases based on the user’s instructions and converts in standard form (Fig. 1, col. 4, lines 8-11). Finally, Polizzi teaches the claimed “expose the extracted information” as the portal system provides output reports to a user (Fig. 1, col. 4, lines 11-13).

7. As per dependent claim 2, Polizzi teaches the claimed “the extracted information is exposed a computer process that uses statistical algorithms to discover patterns and correlations in the extracted information” as the portal system 120 processes the uses the data obtained from the databases and processes to provide as reports and for example, weekly report on manufacturing statistics for the enterprise (Fig. 2, col. 6, lines 38-42).

8. As per independent claim 3, Polizzi teaches an enterprise-wide computer system using a portal architecture to be connected to a wide variety of different computers within the enterprise to allow users to retrieve a variety of contents from different databases (col. 1, lines 53-64). The portal system converts user request in standard format into database format and vice versa while returning the data and report to the user col.2, lines 1-5). Polizzi teaches the claimed step of "receiving at least one user-selected parameter related to the information to be extracted from the PIA file" as the user's instructions (examiner assumes instructions contain parameters) (Fig. 1, 5, col. 4, 16, lines 5-6 and lines 43-48). Further, Polizzi teaches the claimed step of "receiving the PIA formatted file" as the portal system receives the user instructions in standard format (Fig. 1, col. 4, lines 5-8). Further, Polizzi teaches the claimed step of "converting the PIA file to a file format suitable for extraction of information based upon the user-selected parameter" as user instructions are converted into commands (Fig. 1, col. 4, lines 5-8). Further, Polizzi teaches the claimed step of "extracting information from the converted PIA file based on the user-selected parameter" as the portal system extracts the data and reports from the back-end databases based on the user's instructions and converts in standard form (Fig. 1, col. 4, lines 8-11). Finally, Polizzi teaches the claimed step of "exposing the extracted information" as the portal system provides output reports to a user (Fig. 1, col. 4, lines 11-13).

9. As per dependent claim 4, Polizzi teaches the claimed step of "the extracted information is exposed to a computer process that uses statistical algorithms to discover

patterns and correlations in the extracted information” as the portal system 120 processes the uses the data obtained from the databases and processes to provide as reports and for example, weekly report on manufacturing statistics for the enterprise (Fig. 2, col. 6, lines 38-42).

10. As per independent claim 5, Polizzi teaches an enterprise-wide computer system using a portal architecture to be connected to a wide variety of different computers within the enterprise to allow users to retrieve a variety of contents from different databases (col. 1, lines 53-64). The portal system converts user request in standard format into database format and vice versa while returning the data and report to the user col.2, lines 1-5). Polizzi teaches the claimed “a processor” as the service broker 125 controls access to the portal system 120 and also provides session management services for users (Fig. 1, col. 9, lines 54-57). Further, Polizzi teaches the claimed “a memory storage device coupled to the processor for maintaining the PIA file and the user-selected parameter” as the repository 235 is a computer storage memory device within the portal system (Fig.2-3, col. 10, lines 47-50). Further, Polizzi teaches “receive at least one user-selected parameter related to the information to be extracted from the PIA file” as the user’s instructions (examiner assumes instructions contain parameters) (Fig. 1, 5, col. 4, 16, lines 5-6 and lines 43-48). Further, Polizzi teaches the claimed “receive the PIA formatted file” as the portal system receives the user instructions in standard format (Fig. 1, col. 4, lines 5-8). Further, Polizzi teaches the claimed convert the PIA file to a file format suitable for extraction of information based upon the user-

selected parameter” as user instructions are converted into commands (Fig. 1, col. 4, lines 5-8). Further, Polizzi teaches the claimed “extract information from the converted PIA file based on the user-selected parameter” as the portal system extracts the data and reports from the back-end databases based on the user’s instructions and converts in standard form (Fig. 1, col. 4, lines 8-11). Finally, Polizzi teaches the claimed “expose the extracted information” as the portal system provides output reports to a user (Fig. 1, col. 4, lines 11-13).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sathyanarayan Pannala whose telephone number is (571) 272-4115. The examiner can normally be reached on 8:00 am - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner’s supervisor, John Breene can be reached on (571) 272-4107. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

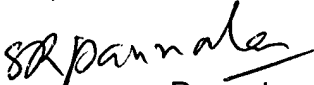
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Art Unit: 2167

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Should you have questions on access to the Private PAIR system, contact the

Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Sathyanarayan Pannala
Examiner
Art Unit 2167

srp